RZCEIVED CENTRAL FAX CENTER

SEP 2 7 2004

WER Wilson Sonsini Goodrich & Rosati

	hamas a room	Date: September 24, 2004
To: Commissioner for Patents	Fax: 703-872-9306	. Use this fax number only
Company: U.S. Patent and Trademark Office	Phone:	Notify recipient before sending
From: Ruth E. Brown	Phone: 1-650-461-6545 Retu	ırn Fax: 1-650-493-6811
Original: To follow via mail To fol	llow vla courier 🔲 To follow via email	Original will not follow
Fax Contains: 4 pages (including this she	eet). If incomplete, call [Insert your direct nu	ımber].
Application No.: 10/813,354 Filing Date: May 29, 2004 First Named Inventor: John M. Adams et al. Group/Art Unit:: 3738 Examiner Name: Not yet assigned Attorney Docket: 29912-704.301		¥
Attached to this coversheet are the following of	documents submitted in the above-reference	ed patent application:
1) REQUEST FOR CORRECTED FILING RECE	EIPT (1PG)	
2) COPY OF OFFICIAL FILING RECEIPT WITH	NOTIFICATION OF CORRECTION IN REL) (2PGS)

Ref: 29912-704.301

Return Original to: Ruth E. Brown

Location: F H 12, P7

650 Page Mill Road, Palo Alto, CA 94304-1050 • 650.493.9300 Tel • 650.493.6811 Fax • www.wsgr.com

This fax may contain confidential and privileged material for the sole use of the intended recipient. Any review or distribution by others is strictly prohibited.

If you are not the intended recipient please contact the sender and destroy all copies.

Entire Transmission Copyright © 2003 Wilson Sonsini Goodrich & Rosati. All Rights Reserved.

Attorney Docket No. 29912-704.301
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application) Inventors: John M. Adams; David G. Reuter;) Mark L. Mathis; Scott J. Wolf)	Confirmation No.: 5007 Art Unit: 3738	TOU CEATE	RICEIVED CENTRAL FAX CENTER SEP 2 7 2004	
Application No.: 10/813,354	Examiner: Unassigned			
Filed: March 29, 2004	Customer No. 021971	*,		
Title Focused Compression Mitral Valve) Device And Method)				
Mail Stop: Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231				

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request a Corrected Filing receipt in this case, to correct the number of sheets for the drawing in the above-referenced application. The correct data should read as follows:

Correct data "021971"

Priority Application Number (paris convention)

Customer Number:

"10/003,910"

Applicant also encloses a copy of a marked Filing Receipt to show the requested change.

There is no fee due under 37 C.F.R. § 1.19(h). Nonetheless, The Commissioner is authorized to charge any additional fees which may be required to Deposit Account No. 23-2415 (Docket No. 29912-704.301).

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Dated: 912-7/174

By: 8 No. 32,062

WILSON SONSINI GOODRICH & ROSATI 650 Page Mill Road Palo Alto, CA 94304-1505 (650) 493-9300

C:\NrPortbl\PALIB1\RMCD\2535483_1.DOC



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United Staten Patents and Trademark Office
Address COMMISSIONER FOR PATENTS
PO. Dog 1450
Absands, Vignis 22313-1450
www.appu.gov

APPL NO. FILING OR 371 ART UNIT FIL FEE REC'D ATTY.DOCKET NO (c) DATE **DRAWINGS TOT CLMS** IND CLMS 10/813,354 03/29/2004 3738 385 29912-704,301

021971 WILSON SONSINI GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 943041050

CONFIRMATION NO. 5007 UPDATED FILING RECEIPT OC000000013687177*

Date Mailed: 09/01/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-748-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John M. Adams, Sammamish, WA: David G. Reuter, Bothell, WA; Mark L. Mathis, Kirkland, WA; Scott J. Wolf, Bellevue, WA;

021971 Fower of Attorney: The patent practitioners associated with Customer Number @@@gg

Domestic Priority data as claimed by applicant

This application is a CON of 10/003,910 11/01/2001

Foreign Applications

If Required, Foreign Filing License Granted: 06/19/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is,

-U310/813,354-10/003,910

Projected Publication Date: 12/09/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Focused compression mitral valve device and method

Preliminary Class

600

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such ficenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to esplonage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not infinited to the items enceked.		
	□ BLACK BORDERS	
	☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES	
	☐ FADED TEXT OR DRAWING	
	☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING	
	☐ SKEWED/SLANTED IMAGES	
	☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS	
	☐ GRAY SCALE DOCUMENTS	
	☐ LINES OR MARKS ON ORIGINAL DOCUMENT	
	☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY	

IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.